



# UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
09/910,192	07/20/2001	Reza Jalili	P/2832-17	1493	
2352	7590 11/05/2004		EXAMINER		
	NK FABER GERB & UE OF THE AMERICA	OSMAN,	OSMAN, RAMY M		
	, NY 100368403	3	ART UNIT	PAPER NUMBER	
•			2157		

DATE MAILED: 11/05/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

		Application	Application No.		Applicant(s)			
		09/910,19	2	JALILI, REZA				
	Office Action Summary	Examiner		Art Unit				
		Ramy M C		2157				
The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply								
A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.  - If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).								
Status								
1)⊠	1)⊠ Responsive to communication(s) filed on <u>20 July 2001</u> .							
2a) <u></u> ☐	☐ This action is <b>FINAL</b> . 2b) ☐ This action is non-final.							
3)	Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213.							
Disposition of Claims								
4) ☐ Claim(s) 1-19 is/are pending in the application.  4a) Of the above claim(s) is/are withdrawn from consideration.  5) ☐ Claim(s) is/are allowed.  6) ☐ Claim(s) 1-19 is/are rejected.  7) ☐ Claim(s) is/are objected to.  8) ☐ Claim(s) are subject to restriction and/or election requirement.								
Applicati	on Papers							
,	The specification is objected to by the Exami							
10) $\boxtimes$ The drawing(s) filed on <u>20 July 2001</u> is/are: a) $\square$ accepted or b) $\boxtimes$ objected to by the Examiner.								
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).								
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).  11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.								
Priority ι	ınder 35 U.S.C. § 119							
<ul> <li>12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).</li> <li>a) All b) Some * c) None of:</li> <li>1. Certified copies of the priority documents have been received.</li> <li>2. Certified copies of the priority documents have been received in Application No</li> <li>3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).</li> <li>* See the attached detailed Office action for a list of the certified copies not received.</li> </ul>								
Attachmen	t(s)							
	e of References Cited (PTO-892)  of Draftsperson's Patent Drawing Review (PTO-948)		4) Interview Summary Paper No(s)/Mail Da					
3) 🔲 Infor	nation Disclosure Statement(s) (PTO-1449 or PTO/SB/0r No(s)/Mail Date	08)	5) Notice of Informal F 6) Other:		·O-152)			

#### **DETAILED ACTION**

### **Drawings**

1. New corrected drawings in compliance with 37 CFR 1.121(d) are required in this application because they are difficult to comprehend as to how they describe the invention. Figures 2 and 3b show absolutely no functionality of the invention and how the mentioned elements relate to one another. Just showing separate boxes without anything connecting them or showing if they one part of the same system, or showing their inter-relationship does not express features of the invention.

Applicant is advised to employ the services of a competent patent draftsperson outside the Office, as the U.S. Patent and Trademark Office no longer prepares new drawings. The corrected drawings are required in reply to the Office action to avoid abandonment of the application. The requirement for corrected drawings will not be held in abeyance.

2. The drawings are objected to under 37 CFR 1.83(a). The drawings must show every feature of the invention specified in the claims. Therefore, limitations like "selection of slots", "playback data" and "playback instructions" must be shown or the feature(s) canceled from the claim(s). No new matter should be entered.

Corrected drawing sheets in compliance with 37 CFR 1.121(d) are required in reply to the Office action to avoid abandonment of the application. Any amended replacement drawing sheet should include all of the figures appearing on the immediate prior version of the sheet, even if only one figure is being amended. The figure or figure number of an amended drawing

Application/Control Number: 09/910,192 Page 3

Art Unit: 2157

should not be labeled as "amended." If a drawing figure is to be canceled, the appropriate figure must be removed from the replacement sheet, and where necessary, the remaining figures must be renumbered and appropriate changes made to the brief description of the several views of the drawings for consistency. Additional replacement sheets may be necessary to show the renumbering of the remaining figures. The replacement sheet(s) should be labeled "Replacement Sheet" in the page header (as per 37 CFR 1.84(c)) so as not to obstruct any portion of the drawing figures. If the changes are not accepted by the examiner, the applicant will be notified and informed of any required corrective action in the next Office action. The objection to the drawings will not be held in abeyance.

# Specification

3. Applicant is reminded of the proper content of an abstract of the disclosure.

A patent abstract is a concise statement of the technical disclosure of the patent and should include that which is new in the art to which the invention pertains. If the patent is of a basic nature, the entire technical disclosure may be new in the art, and the abstract should be directed to the entire disclosure. If the patent is in the nature of an improvement in an old apparatus, process, product, or composition, the abstract should include the technical disclosure of the improvement. In certain patents, particularly those for compounds and compositions, wherein the process for making and/or the use thereof are not obvious, the abstract should set forth a process for making and/or use thereof. If the new technical disclosure involves modifications or alternatives, the abstract should mention by way of example the preferred modification or alternative.

The abstract should not refer to purported merits or speculative applications of the invention and should not compare the invention with the prior art.

Where applicable, the abstract should include the following:

- (1) if a machine or apparatus, its organization and operation;
- (2) if an article, its method of making;
- (3) if a chemical compound, its identity and use;
- (4) if a mixture, its ingredients;
- (5) if a process, the steps.

Art Unit: 2157

Page 4

Extensive mechanical and design details of apparatus should not be given.

4. Applicant is reminded of the proper language and format for an abstract of the disclosure.

The abstract should be in narrative form and generally limited to a single paragraph on a separate sheet within the range of 50 to 150 words. It is important that the abstract not exceed 150 words in length since the space provided for the abstract on the computer tape used by the printer is limited. The form and legal phraseology often used in patent claims, such as "means" and "said," should be avoided. The abstract should describe the disclosure sufficiently to assist readers in deciding whether there is a need for consulting the full patent text for details.

The language should be clear and concise and should not repeat information given in the title. It should avoid using phrases which can be implied, such as, "The disclosure concerns," "The disclosure defined by this invention," "The disclosure describes," etc.

- 5. Applicant is requested to submit a new abstract following the guidelines mentioned above.
- 6. Applicant is reminded of the proper format of the specification, outlined below.

#### Arrangement of the Specification

As provided in 37 CFR 1.77(b), the specification of a utility application should include the following sections in order. Each of the lettered items should appear in upper case, without underlining or bold type, as a section heading. If no text follows the section heading, the phrase "Not Applicable" should follow the section heading:

- (a) TITLE OF THE INVENTION.
- (b) CROSS-REFERENCE TO RELATED APPLICATIONS.
- (c) STATEMENT REGARDING FEDERALLY SPONSORED RESEARCH OR DEVELOPMENT.
- (d) INCORPORATION-BY-REFERENCE OF MATERIAL SUBMITTED ON A COMPACT DISC (See 37 CFR 1.52(e)(5) and MPEP 608.05. Computer program listings (37 CFR 1.96(c)), "Sequence Listings" (37 CFR 1.821(c)), and tables having more than 50 pages of text are permitted to be submitted on compact discs.) or

REFERENCE TO A "MICROFICHE APPENDIX" (See MPEP § 608.05(a).

"Microfiche Appendices" were accepted by the Office until March 1, 2001.)

(e) BACKGROUND OF THE INVENTION.

Page 5

Art Unit: 2157

- (1) Field of the Invention.
- (2) Description of Related Art including information disclosed under 37 CFR 1.97 and 1.98.
- (f) BRIEF SUMMARY OF THE INVENTION.
- (g) BRIEF DESCRIPTION OF THE SEVERAL VIEWS OF THE DRAWING(S).
- (h) DETAILED DESCRIPTION OF THE INVENTION.
- (i) CLAIM OR CLAIMS (commencing on a separate sheet).
- (j) ABSTRACT OF THE DISCLOSURE (commencing on a separate sheet).
- (k) SEQUENCE LISTING (See MPEP § 2424 and 37 CFR 1.821-1.825. A "Sequence Listing" is required on paper if the application discloses a nucleotide or amino acid sequence as defined in 37 CFR 1.821(a) and if the required "Sequence Listing" is not submitted as an electronic document on compact disc).

#### Content of Specification

- (a) <u>Title of the Invention</u>: See 37 CFR 1.72(a) and MPEP § 606. The title of the invention should be placed at the top of the first page of the specification unless the title is provided in an application data sheet. The title of the invention should be brief but technically accurate and descriptive, preferably from two to seven words may not contain more than 500 characters.
- (b) <u>Cross-References to Related Applications</u>: See 37 CFR 1.78 and MPEP § 201.11.
- (c) <u>Statement Regarding Federally Sponsored Research and Development</u>: See MPEP § 310.
- (d) Incorporation-By-Reference Of Material Submitted On a Compact Disc: The specification is required to include an incorporation-by-reference of electronic documents that are to become part of the permanent United States Patent and Trademark Office records in the file of a patent application. See 37 CFR 1.52(e) and MPEP § 608.05. Computer program listings (37 CFR 1.96(c)), "Sequence Listings" (37 CFR 1.821(c)), and tables having more than 50 pages of text were permitted as electronic documents on compact discs beginning on September 8, 2000.

Or alternatively, Reference to a "Microfiche Appendix": See MPEP § 608.05(a). "Microfiche Appendices" were accepted by the Office until March 1, 2001.

- (e) <u>Background of the Invention</u>: See MPEP § 608.01(c). The specification should set forth the Background of the Invention in two parts:
  - (1) <u>Field of the Invention</u>: A statement of the field of art to which the invention pertains. This statement may include a paraphrasing of the

Art Unit: 2157

applicable U.S. patent classification definitions of the subject matter of the claimed invention. This item may also be titled "Technical Field."

Page 6

- (2) Description of the Related Art including information disclosed under 37

  CFR 1.97 and 37 CFR 1.98: A description of the related art known to the applicant and including, if applicable, references to specific related art and problems involved in the prior art which are solved by the applicant's invention. This item may also be titled "Background Art."
- (f) Brief Summary of the Invention: See MPEP § 608.01(d). A brief summary or general statement of the invention as set forth in 37 CFR 1.73. The summary is separate and distinct from the abstract and is directed toward the invention rather than the disclosure as a whole. The summary may point out the advantages of the invention or how it solves problems previously existent in the prior art (and preferably indicated in the Background of the Invention). In chemical cases it should point out in general terms the utility of the invention. If possible, the nature and gist of the invention or the inventive concept should be set forth. Objects of the invention should be treated briefly and only to the extent that they contribute to an understanding of the invention.
- (g) <u>Brief Description of the Several Views of the Drawing(s)</u>: See MPEP § 608.01(f). A reference to and brief description of the drawing(s) as set forth in 37 CFR 1.74.
- (h) Detailed Description of the Invention: See MPEP § 608.01(g). A description of the preferred embodiment(s) of the invention as required in 37 CFR 1.71. The description should be as short and specific as is necessary to describe the invention adequately and accurately. Where elements or groups of elements, compounds, and processes, which are conventional and generally widely known in the field of the invention described and their exact nature or type is not necessary for an understanding and use of the invention by a person skilled in the art, they should not be described in detail. However, where particularly complicated subject matter is involved or where the elements, compounds, or processes may not be commonly or widely known in the field, the specification should refer to another patent or readily available publication which adequately describes the subject matter.
- (i) Claim or Claims: See 37 CFR 1.75 and MPEP § 608.01(m). The claim or claims must commence on separate sheet or electronic page (37 CFR 1.52(b)(3)). Where a claim sets forth a plurality of elements or steps, each element or step of the claim should be separated by a line indentation. There may be plural indentations to further segregate subcombinations or related steps. See 37 CFR 1.75 and MPEP § 608.01(i)-(p).
- (j) <u>Abstract of the Disclosure</u>: See MPEP § 608.01(f). A brief narrative of the disclosure as a whole in a single paragraph of 150 words or less commencing on a

Art Unit: 2157

separate sheet following the claims. In an international application which has entered the national stage (37 CFR 1.491(b)), the applicant need not submit an abstract commencing on a separate sheet if an abstract was published with the international application under PCT Article 21. The abstract that appears on the cover page of the pamphlet published by the International Bureau (IB) of the World Intellectual Property Organization (WIPO) is the abstract that will be used by the USPTO. See MPEP § 1893.03(e).

Page 7

- (k) <u>Sequence Listing</u>, See 37 CFR 1.821-1.825 and MPEP §§ 2421-2431. The requirement for a sequence listing applies to all sequences disclosed in a given application, whether the sequences are claimed or not. See MPEP § 2421.02.
- 7. The spacing of the lines of the specification is such as to make reading and entry of amendments difficult. New application papers with lines double spaced on good quality paper are required.
- 8. The specification is objected to because it fails to be presented in a comprehensive way, to allow one skilled in the art an understanding of the scope of the invention. Applicant is requested to follow the guidelines outlined above in regards to specification format.
- 9. The specification does not give any reference as to which figure is being referred to whenever a diagrammatic feature is described. Applicant is requested to include figure numbers throughout the specification.
- 10. 35 U.S.C. 112, first paragraph, requires the specification to be written in "full, clear, concise, and exact terms." The specification is replete with terms which are not clear, concise and exact. The specification should be revised carefully in order to comply with 35 U.S.C. 112, first paragraph. Examples of some unclear, inexact or verbose terms used in the specification are:
  - splash screen, on page 5

Page 8

Art Unit: 2157

- television area, on page 12

- slots, on page 13

Applicant is required to provide a clarification of these matters or correlation with art-accepted terminology so that a proper comparison with the prior art can be made. Examiner requests applicants cooperation to clarify any other undefined terms in the specification.

11. A substitute specification including the claims is required pursuant to 37 CFR 1.125(a) because of its failure to be written in "full, clear, concise, and exact terms.". The specification should be revised carefully in order to comply with the above mentioned reasons.

Applicant should be careful not to introduce any new matter into the substitute specification (i.e., matter which is not supported by the disclosure as originally filed). The substitute specification must be submitted with markings showing all the changes relative to the immediate prior version of the specification of record. The text of any added subject matter must be shown by underlining the added text. The text of any deleted matter must be shown by strike-through except that double brackets placed before and after the deleted characters may be used to show deletion of five or fewer consecutive characters. The text of any deleted subject matter must be shown by being placed within double brackets if strike-through cannot be easily perceived. An accompanying clean version (without markings) and a statement that the substitute specification contains no new matter must also be supplied. Numbering the paragraphs of the specification of record is not considered a change that must be shown.

12. Claims 1-19 objected to because of the following informalities:

Claim 1, step (b), element (7) should not end with a period. Period should be removed.

Also, there should be use of colons, commas or semi-colons throughout the claims 1-19 to distinguish the different steps from eachother. All claims must end with a period.

Appropriate correction is required.

# Claim Rejections - 35 USC § 112

13. The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

14. Claims 1-17 rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the enablement requirement. The claim(s) contains subject matter which was not described in the specification in such a way as to enable one skilled in the art to which it pertains, or with which it is most nearly connected, to make and/or use the invention.

For claim 1, 'slots' have not been properly defined in the specification and how they relate to the invention. Applicant uses the word 'slots' in the claim language in different locations which seem to have different meanings. "Digital publishing slot booking system' makes no sense since it was not described in the specification. It is not explained how a 'slot' can be delivered. It is not explained how a 'slot' can be played. The listed 'plurality of criteria' does

not make sense because 'slots' and 'events' (in elements 6 & 7) have not been described in the specification.

Page 10

In claim 2, are the devices receiving playback data, or are they supposed to receive 'slots' as mentioned in claim 1. Also, the difference between 'playback data' and 'playback instructions' have not been described in the specification. The specification is not enabling.

Claims 3-17 inherit the deficiencies of claims 1 and 2, and are rejected for similar reasons.

- 15. The following is a quotation of the second paragraph of 35 U.S.C. 112:
  - The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.
- 16. Claims 1-19 rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

Claim 1 fails to specify who is performing the viewing, selecting, arranging, associating, creating and delivering, and whether all these actions performed by the 'system' or is there a user interacting with the 'system'. Element (6) in step (b) is unclear as to what exactly is "start time or event", and whether it is meant to be 'start time of event' or 'start time or 'event time'. For elements (9) and (10) in step (b), applicant makes no distinction between date and day. In step (e), applicant doesn't mention who is paying, and to whom the payment is being made to. In step (f), applicant doesn't explain the functionality of associating content with slot (not described in

Art Unit: 2157

the specification). In step (g), applicant doesn't mention whether it is the 'reports' or 'slots' that

are delivered to the playback device.

Claims 2-18 inherit the deficiencies of claim 1, and are therefore rejected for similar

reasons. For example, claim 13 does not specify who is controlling and for what functionality.

Claim 19 fails to show any relationship between the first computer, the server, the second

computer and the database. Merely listing components of a system without explicitly describing

which component is doing what function, has no patentable weight. There is no mention as to

which component is executing the mentioned actions of: creating, uploading, programming,

associating, storing or specifying. The term "scripted playback" in step (j) is not clearly defined

as to how a playback can be scripted.

17. Claim 1 recites the limitations:

- "slots" in step (a),
- "playback device" in step (b-3),
- "event" in step (b-6), and
- "playback content" in step (f).

There is insufficient antecedent basis for these limitation in the claim.

18. Claim 19 recites the limitation "layout specification" in step (g), recites the limitation

"playback device" in step (p). There is insufficient antecedent basis for these limitations in the

claim. There is no mention as to which device it belongs to.

Page 11

Application/Control Number: 09/910,192 Page 12

Art Unit: 2157

# Claim Rejections - 35 USC § 102

19. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (a) the invention was known or used by others in this country, or patented or described in a printed publication in this or a foreign country, before the invention thereof by the applicant for a patent.
- 20. Claims 1-19 rejected under 35 U.S.C. 102(a) as being anticipated by van Hoff et al (US Patent No 5,959,623).
- 21. In light of the above mentioned rejections, this 102(a) rejection is a best effort attempt by the examiner to reject the claims even though they do not particularly point out and distinctly claim what the applicant regards as their invention.
- 22. In reference to claims 1,2 and 19, Van Hoff teaches an advertising publishing system with viewable advertisements, selectable advertisements, and deliverable advertisements (Summary and column 1 lines 5-10, column 4 lines 10-35 and column 7 lines 10-50).
- 23. In reference to claims 3 and 4, Van Hoff teaches audio and visual advertisements displayed on a client (column 4 lines 42-55).
- 24. In reference to claims 5-17, Van Hoff teaches playback on various client computing devices (column 2 lines 55-67 and column 3 lines 25-40).

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Ramy M Osman whose telephone number is (703) 305-8050. The examiner can normally be reached on M-F 9-5.

Application/Control Number: 09/910,192 Page 13

Art Unit: 2157

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Ario Etienne can be reached on (703) 308-7562. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

RMO October 29, 2004

> SALEH NAJJAR PRIMARY EXAMINER